



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.:

10/629,538

Confirmation No.:

6864

Filed:

July 29, 2003

Inventor(s):

Lockwood et al.

Title:

**STRUCTURAL** 

CAROTENOID ANALOGS FOR THE INHIBITION AND AMELIORATION

OF DISEASE

Examiner:

Unknown

Art Unit:

1614

Atty. Dkt. No:

5777-00201

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Tackie I Pitre

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Lockwood et al. Appl. Ser. No.: 10/629,538

Atty. Dckt. No.: 5777-00201

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5777-00201/EBM.

Respectfully submitted,

Eric B. Meyertons Reg. No. 34,876

Attorney for Applicant(s)

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Date:
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ATTY. DOCKET NO: 5777-00201

APPLICANT: Lockwood et al.

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# FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO
	Al	JP 07300421	Nov., 1995	JP			Abstract only
	A2	JP 10155459	Jun., 1998	JP			Abstract only
	A3	EP 0204987	Dec., 1986	DE			YES
	A4	WO 90/10440	Sept., 1990	PCT			N/A
	A5	WO 92/05780	Apr., 1992	PCT			N/A
	A6	WO 98/37874	Sep., 1998	РСТ			N/A
	A7	WO 99/11251	Mar., 1999	PCT			N/A
	A8	WO 99/30701	Jun., 1999	PCT			N/A
	A9	WO 02/47680	Jun., 2002	PCT			N/A
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	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)				
B1	Buchwald, M. and W.P. Jencks. "Optical properties of astaxanthin solutions and aggregates." <i>Biochemistry</i> 1968, 7: \834-843.				
B2	Reznikoff <i>et al</i> "Quantitative and Qualitative Studies of Chemical Transformation of Cloned C3H Mouse Embryo Cells Sensitive to Postconfluence Inhibition of Cell Division." <i>Cancer Research</i> , 1973, 33:2339-2349.				
- B3	Andrewes <i>et al.</i> "Animal carotenoids. 9. On the absolute configuration of astaxanthin and actinioerythrin." <i>Acta Chem. Scand.</i> 1974, B28:730-736.				
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В6	Halliwell, B. "Superoxide-dependent formation of hydroxyl radicals in the presence of iron chelates. Is it a mechanism for hydroxyl radical production in biological systems?" <i>FEBS Lett</i> 1978, 92:321-326.				
В7	Harada et al. "Circular dichroic power due to chiral exciton coupling between two polyacene chromophores." J. Am. Chem. Soc. 1978, 100:4029-4036.				
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. B11	Noack and Thomson "Conformation and optical-activity of all-trans, mono-cis, and di-cis carotenoids - temperature-dependent circular-dichroism." Helv. Chim. Acta 1979, 62: 1902-1921.				
B12	Müller et al. "Contribution to the analytical separation and the synthesis of 3-hydroxy-4-oxocarotenoids." Helv. Chim. Acta 1980, 63:1654-1664. German, Abstract Engl.				
B13	Sturzenegger <i>et al.</i> "Classification of the CD spectra of carotenoids." <i>Helv. Chim. Acta</i> 1980, 63:1074-1092.				

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F	Bernhard <i>et al.</i> "Synthesis of optically-active natural carotenoids and structurally related-compour 9. Synthesis of (3R)-hydroxyechinenone, (3R,3'R)-adonixanthin and (3R,3'S)-adonixanthin, (3R)-adonirubin, their optical antipodes and related-compounds." <i>Helv. Chim. Acta.</i> 1981, 64:2469-248 Summary Engl. Article German.					
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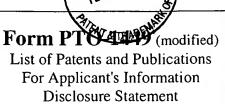
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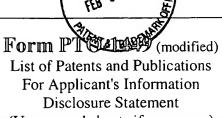
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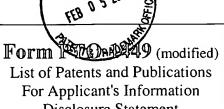
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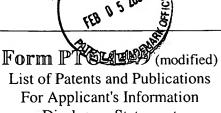
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For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

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